



05-16-02

Anderson 5-13-9-12

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): G. Anderson et al.  
Case: 5-13-9-12  
Serial No.: 10/022,926  
Filing Date: December 18, 2001  
Group: 2161  
Examiner: To Be Assigned

"Express Mail" Label No. EV033867487US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: May 15, 2002

Signature: Senise A. Glaser

Title: Methods and Apparatus for Automated Monitoring and Action Taking Based on Decision Support Mechanism

TRANSMITTAL OF MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box Missing Parts

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MAY 22 2002

OFFICE OF PETITIONS

Sir:

In response to the Notice to File Missing Parts dated January 15, 2002, Applicants submit herewith the following documents to complete the above-referenced patent application:

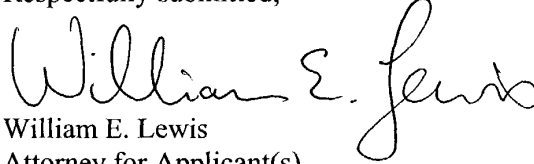
- (1) Petition for Filing by Joint Inventors on Behalf of Themselves and the Nonsigning Inventor Pursuant to 37 C.F.R. 1.47(a);
- (2) Two (2) Statements of Facts in Support of Filing by Joint Inventors on Behalf of Themselves and the Nonsigning Inventor Pursuant to 37 C.F.R. 1.47(a);
- (3) Declaration and Power of Attorney;
- (4) Added Pages to Declaration and Power of Attorney for Signature by Joint Inventors on Behalf of Nonsigning Inventor who Refuses to Sign;
- (5) Transmittal of Formal Drawings; and
- (6) Formal Drawings (6 sheets).

05/20/2002 BABRAHA1 00000003 500762 10022926  
02 FC:116 400.00 CH

2002 05 15 15:02

Please extend the period for response by two months to May 15, 2002. Please charge **Ryan, Mason & Lewis, LLP Deposit Account No. 50-0762** the amount of \$530, i.e., \$130 to cover the surcharge under 37 CFR §1.16(e) and \$400 to cover the two month Extension of Time. A copy of the Notice is enclosed herewith. A duplicate copy of this letter is enclosed. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 50-0762** as required to correct the error.

Respectfully submitted,




Date: May 15, 2002

William E. Lewis  
Attorney for Applicant(s)  
Reg. No. 39,274  
Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
Locust Valley, NY 11560  
(516) 759-2946

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1022926-051502  
#5

Anderson 5-13-9-12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Patent Application**

Applicant(s): G. Anderson et al.  
Case: 5-13-9-12  
Serial No.: 10/022,926  
Filing Date: December 18, 2001  
Group: 2161  
Examiner: To Be Assigned

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Date of Deposit: May 15, 2002

Signature: Shirley A. Glaser

Title: Methods and Apparatus for Automated Monitoring and Action Taking Based on Decision Support Mechanism

TRANSMITTAL OF FORMAL DRAWINGS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Official Draftsperson

Sir:

In response to the Notice to File Missing Parts dated January 15, 2002, Applicant submits herewith six (6) sheets of formal drawings to complete the above-referenced patent application.

A copy of the Notice is enclosed herewith. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Ryan, Mason & Lewis, LLP Deposit Account No. 50-0762** as required to correct the error.

Respectfully submitted,

William E. Lewis

William E. Lewis

Reg. No. 39,274

Attorney for Applicant(s)

Ryan, Mason & Lewis, LLP

90 Forest Avenue

Locust Valley, NY 11560

(516) 759-2946

Date: May 15, 2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/022,926	12/18/2001	Gregory Anderson	5-13-9-12

MAY 15 2002

1048

PATENT &amp; TRADEMARK OFFICE

CONFIRMATION NO. 2573

## FORMALITIES LETTER



\*OC000000007309927\*

Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
Locust Valley, NY 11560

Date Mailed: 01/15/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/20/2002 BABRAHA1 00000003 500762 10022926

01 FC:105

130.00 CH

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE